

Contents

1	Basic concepts	1
1.1	Introduction	1
1.2	Principles of ultrahigh vacuum techniques	2
1.2.1	Why is UHV necessary?	2
1.2.2	Production of ultrahigh vacuum	3
1.2.3	Pressure measurement	4
1.2.4	Gas handling	4
1.3	Preparation of clean surfaces	5
1.4	Interaction of low energy electrons with matter	6
1.5	Electron energy analyzers	9
1.5.1	Retarding field grid analyzer (RFA)	9
1.5.2	Cylindrical mirror analyzer (CMA)	11
1.5.3	127°-analyzer	13
1.5.4	Concentric hemisphere analyzer (CHA)	14
1.6	References	15
2	Auger electron spectroscopy	17
2.1	Historical development	17
2.2	Instrumentation	18
2.2.1	Source of excitation	18
2.2.2	Sample	20
2.2.3	Analyzer and detector system	20
2.2.4	Further refinements	23
2.3	Mechanism of the Auger process	28
2.4	Energies and shapes of the Auger peaks	33
2.4.1	Free atoms	33
2.4.2	Condensed matter	34
2.4.3	Chemical effects	37
2.5	Intensity of the Auger electron emission	40
2.5.1	Auger yield	40
2.5.2	Ionization cross section	42
2.5.3	Auger electron emission from condensed matter	43
2.6	Detected volume	45
2.7	Qualitative analysis	47
2.8	Quantitative analysis	47
2.8.1	Determination of relative surface quantities	48
2.8.2	Absolute surface quantities	48
2.8.3	Alloys	49
2.8.4	Depth profiling	55
2.8.5	Kinetic studies	56

2.9	Deconvolution technique and band structure	57
2.10	References	61
3	X-ray photoelectron spectroscopy (XPS)	65
3.1	Introduction	65
3.2	Instrumentation	65
3.2.1	Light sources	65
3.2.2	Analyzer and detector	66
3.2.3	Data analysis	67
3.3	Physical principles	69
3.4	Qualitative surface analysis	70
3.4.1	Identification of elements	70
3.4.2	Core-level chemical shifts	71
3.5	Quantitative analysis	74
3.6	Final state effects	79
3.6.1	Relaxation effects	79
3.6.2	Multiplet splitting	79
3.6.3	Multi-electron excitations	80
3.6.4	Core-level satellites	81
3.7	Angular effects	82
3.8	References	83
4	Ultraviolet photoelectron spectroscopy (UPS)	87
4.1	Introduction	87
4.2	Instrumentation	89
4.2.1	Light sources	89
4.2.1.1	Resonance sources	89
4.2.1.2	Continuous sources	90
4.2.2	Sample	93
4.2.3	Analyzer and detector	93
4.3.	Photoionization process	94
4.3.1	Photoionization of atoms	95
4.3.2	Photoionization of molecules	97
4.3.3	Photoemission from solids	101
4.4	UPS from clean surfaces	108
4.4.1	Angle integrated photoemission	109
4.4.2	Angle resolved photoemission	110
4.5	UPS from adsorbate covered surfaces	114
4.5.1	Adsorbed atoms	117
4.5.2	Adsorbed noble gases	122
4.5.3	Adsorbed molecules	128

4.5.3.1	Adsorbed CO	129
4.5.3.2	Adsorbed polyatomics	138
4.6	References	143
5	Electron spectroscopy with noble gas ions and metastable atoms	147
5.1	Introduction	147
5.2	Instrumentation	147
5.3	Deexcitation mechanisms	148
5.4	Auger neutralization	150
5.5	Auger deexcitation (Penning ionization)	153
5.6	References	156
6	Appearance potential spectroscopy	157
6.1	Introduction	157
6.2	Instrumentation	158
6.3	Mechanism	160
6.4	Core-level binding energies	163
6.5	Surface analysis	165
6.6	Band structure and deconvolution	165
6.7	Adsorbate studies/Chemical effects	170
6.8	Extended fine structure	171
6.9	References	173
7	Inverse photoemission (IPE, BIS)	175
7.1	Introduction	175
7.2	Instrumentation	175
7.3	Mechanism of IPE	176
7.4	Clean surfaces	178
7.5	Adsorbate studies	181
7.6	References	183
8	Electron energy loss spectroscopy (ELS, EELS)	185
8.1	Introduction	185
8.2	Instrumentation	186
8.3	Ionization losses	187
8.4	Plasmon losses and intraband transitions	190
8.5	Extended loss fine structure	195
8.6	Adsorbate induced losses	197
8.7	References	199

9	Low energy electron diffraction (LEED)	201
9.1	Introduction and historical development	201
9.2	Classification of periodic surface structures	203
9.2.1	Substrate and surface structures	203
9.2.2	Surfaces with periodic steps and kinks	206
9.3	Formation of the diffraction pattern	207
9.4	Instrumentation	209
9.4.1	Introduction	209
9.4.2	Electron gun	209
9.4.3	Detector system	210
9.5	Geometrical theory of diffraction	214
9.5.1	Introduction	214
9.5.2	The reciprocal lattice	215
9.5.3	Interference conditions and the Ewald construction	217
9.5.4	Analysis of a simple diffraction pattern	219
9.5.5	Domain structures	220
9.5.6	LEED patterns of incommensurate structures	224
9.6	Kinematic theory	226
9.6.1	Introduction	226
9.6.2	Scattering at two-dimensional lattices	227
9.6.3	Kinematical structure factor	230
9.6.4	Intensity-voltage (I/V) curves	230
9.7	Disordered structures	232
9.7.1	Introduction	232
9.7.2	The transfer width	233
9.7.3	Size effects and one-dimensional disorder	234
9.7.4	Lattice gas systems	238
9.7.5	Antiphase domains	242
9.7.6	Facets	244
9.7.7	Stepped surfaces	246
9.8	Simulation of diffraction patterns	248
9.9	Dynamical theories	250
9.9.1	Introduction	250
9.9.2	Physical parameters entering a dynamical theory	251
9.9.3	Multiple scattering	253
9.9.4	Data evaluation	255
9.10	Temperature effects	257
9.11	Spin-polarized LEED	261
9.12	References	262
10	X-ray absorption fine structure (EXAFS)	267
10.1	Introduction	267
10.2	Instrumentation	268

10.3	Theory of EXAFS	270
10.4	EXAFS data analysis	272
10.5	Applications of EXAFS to surface problems	277
10.5.1	Supported catalysts	277
10.5.2	Adsorbed layers	277
10.6	Near-edge structure (XANES)	280
10.7	References	282
11	Vibrational spectroscopy (HREELS, EELS)	285
11.1	Introduction	285
11.2	Instrumentation	286
11.3	Symmetry aspects of vibrating adsorbed molecules	288
11.3.1	General remarks	288
11.3.2	Symmetry elements	289
11.3.3	Point groups and representations	291
11.3.4	Irreducible representations	293
11.3.5	Symmetry properties of displacements	294
11.3.6	Application to degenerate point groups	299
11.3.7	Symmetry of adsorbed atoms	302
11.3.8	Symmetry of adsorbed molecules	303
11.4	Normal vibrations	305
11.5	Group frequencies	308
11.6	Theory of the electron scattering mechanism	308
11.6.1	Surface dipole scattering	308
11.6.2	Electron impact mechanism	311
11.6.3	Negative ion resonances	313
11.7	Experimental results	313
11.7.1	Clean surfaces	313
11.7.2	Adsorbed atoms	315
11.7.3	Adsorbed diatomics	323
11.7.4	Adsorbed polyatomics	326
11.7.5	Reaction intermediates	329
11.8	References	332
12	Electron and photon stimulated desorption	335
12.1	Introduction	335
12.2	Experimental	335
12.2.1	Changes of surface properties	336
12.2.2	Detection of desorbing species	336
12.3	Cross sections for desorption	339
12.4	Mechanisms for desorption	341

12.5	Angular distribution of desorbing ions (ESDIAD).....	343
12.6	References	345
13	Appendix	347
13.1	Fundamental constants	347
13.2	Properties of selected elements	347
13.3	Line positions in XPS using Al-K _α radiation	350
13.4	XPS atomic sensitivity factors	354
13.5	Kinetic energies of Auger electrons.....	357
13.6	Relative Auger sensitivity factors	365
13.7	Character tables	365
13.8	Characteristic group frequencies	367
13.9	Abbreviations and acronyms.....	369
	Index	371