

# Content

|                             |          |
|-----------------------------|----------|
| Abbreviations .....         | page XII |
| Selected bibliography ..... | page XV  |

## Part One: Basics Rn

|            |   |    |
|------------|---|----|
| <b>§ 1</b> | <b>Concept and Function of Criminal Procedure Law</b>   | 1  |
|            | I. Concept of Criminal Procedure Law  | 1  |
|            | 1. Distinction between Law of Criminal Procedure and Substantive Criminal Law   | 1  |
|            | 2. Relevance of the Differentiation between Criminal Procedure Law and Substantive Criminal Law   | 3  |
|            | 3. Criminal Procedure Law and Execution of Sentence   | 14 |
|            | II. Sense and Purpose of Criminal Procedure Law   | 15 |
|            | 1. Finding-out the Truth in Criminal Proceedings Governed by the Rule of Law  | 15 |
|            | 2. Creating Peace Under the Law   | 21 |
| <b>§ 2</b> | <b>Legal Sources of Criminal Procedure Law; Relevance of Constitutional Law; Europeanisation of Criminal Procedure Law</b>  | 24 |
|            | I. Criminal Procedure Code and Judicature Act   | 24 |
|            | 1. Criminal Procedure Code (StPO)   | 24 |
|            | 2. Judicature Act (GVG)   | 25 |
|            | II. Other Legal Sources   | 26 |
|            | III. Criminal Procedure Law and the German Federal Constitution   | 28 |
|            | 1. The German Federal Constitution ( <i>Grundgesetz</i> , GG) as Legal Source for Criminal Procedure Law  | 29 |
|            | 2. Superiority of the Constitution (GG) to Ordinary Statutes; Constitutional Review (i.e. Procedure before the Federal Constitutional Court to Review the Constitutionality of a Statute) | 35 |
|            | 3. Interpretation of Statutes in Conformity with the Constitution   | 37 |
|            | a) Interpretation in Conformity with the Constitution as Law Preserving Principle   | 37 |
|            | b) Interpretation in Conformity with the Constitution as General Principle of Statutory Interpretation  | 39 |
|            | 4. Constitutional Complaint before the <i>BVerfG</i>  | 41 |
|            | IV. The European Convention for the Protection of Human Rights and Fundamental Freedoms and the Law of Criminal Procedure   | 42 |
|            | 1. The European Convention for the Protection of Human Rights and Fundamental Freedoms (Hereafter: European Convention on Human   |    |

VII

## Content

|  |           |
|--|-----------|
| Rights)  | 42        |
| 2. The Case Law of the <i>ECHR</i> as Driving Force for the »Harmonization of Criminal Procedure Laws« in Europe             | 44        |
| 3. Binding Force of the Judgments of the <i>ECHR</i> on the Convicted Member State?  | 48        |
| V. Europeanisation of Criminal Procedure Law within the EC/EU?   | 50        |
| 1. Distinction between EC and EU   | 50        |
| 2. Community Law (EC-Law) and Criminal Procedure Law   | 51        |
| 3. Europeanisation of Criminal Procedure Law within the EU's Third Pillar?   | 53        |
| a) Harmonisation of Criminal Procedural Provisions of the Member States by binding Framework Decisions?                      | 53        |
| b) Examples for Criminal Procedural Framework Decisions of the EU  | 54        |
| 4. Institutionalization of Criminal Procedural Cooperation within EC/EU  | 55        |
| 5. Convention Implementing the Schengen Agreement – »ne bis in idem« –   | 56        |
| VI. International Criminal Court (ICC)   | 58        |
| <b>Part Two: Parties to the Proceedings</b>  | <b>59</b> |
| <b>Chapter 1: Prosecution Authorities</b>  |           |
| – <b>Criminal Courts, Public Prosecution, Police Authorities</b> –   | 59        |
| <b>§ 3 Criminal Justice</b>  | 59        |
| I. Exclusive Competence of Judges; Rights and Duties of the Judges   | 59        |
| 1. Exclusive Competence of Judges, Art. 92 GG  | 59        |
| 2. Rights and Duties of the Judges   | 60        |
| a) Independence of Judges, Art. 97 GG  | 60        |
| b) Judge's Binding by Statutes and Law (Art. 20 subs. 3 GG)  | 67        |
| c) Command of Loyalty to the Constitution and Duty to be Politically Moderate  | 67        |
| II. The Statutorily Competent Judge: Jurisdiction of the Criminal Courts   | 68        |
| 1. Functional jurisdiction   | 68        |
| a) First Instance: Courts and Panels of Judges   | 71        |
| b) Appellate Courts (Appellate Instance; Final Appeal Instance)  | 74        |
| 2. Subject Matter Jurisdiction (Substantive Jurisdiction)  | 75        |
| a) Subject Matter Jurisdiction of the Different Courts of First Instance   | 76        |
| b) Subject Matter Jurisdiction of the Various First-Instance Panels at the Lower and Higher District Court ( <i>AG, LG</i> ) | 80        |
| c) Joinder of Connected Cases (§§ 2–5 StPO)  | 83        |
| d) Reviewing the Subject Matter Jurisdiction <i>ex officio</i>   | 86        |
| 3. Local Jurisdiction ( <i>forum</i> )   | 92        |
| a) General Jurisdiction (General Cases of Local Jurisdiction)  | 92        |

|            |   |     |
|------------|---|-----|
| b)         | Specific Jurisdiction (Specific Cases of Local Jurisdiction)  | 93  |
| c)         | Extraordinary Cases of Local Jurisdiction   | 93  |
| d)         | Regulation of the Local Jurisdiction and Art. 101 subs. 1 s. 2<br>GG (Principle of the Statutorily Competent Judge)           | 94  |
| e)         | Principle of Priority, § 12 StPO  | 94  |
| f)         | Reviewing the Local Jurisdiction by Trial Courts; Appeal on Law   | 94  |
| 4.         | Distribution of Responsibilities  | 95  |
| a)         | Distribution of Responsibilities on Several Court's Panels<br>with Same Rank  | 95  |
| b)         | Distribution of Responsibilities within the Panels of Judges  | 95  |
| III.       | Exclusion/Disqualification of a Judge; Challenge of a Judge   | 96  |
| 1.         | The Excluded/Disqualified Judge ( <i>iudex inhabilis</i> )  | 96  |
| a)         | Reasons for Exclusion under § 22 StPO   | 97  |
| b)         | Grounds for Exclusion under § 23 StPO: Disqualification<br>of Judges due to Participation in Previous Proceedings             | 103 |
| c)         | The Excluded Judge: No Statutorily Competent Judge  | 107 |
| 2.         | The Biased Judge ( <i>iudex suspectus</i> ) – Challenge of a Judge due<br>to Fear of Bias, §§ 24 to 31 StPO –                 | 107 |
| a)         | Fear of Bias, § 24 subs. 2 StPO   | 108 |
| b)         | Holder of the Right to Challenge a Judge; Statutory Period<br>and Form of Challenge   | 115 |
| c)         | Inadmissibility of Challenging Motions (§ 26 a StPO)  | 119 |
| d)         | The Procedure in Cases of Admissible Motions for Challenge  | 123 |
| e)         | Appellate Remedies (§§ 28, 338 no 3 StPO)   | 127 |
| f)         | Legal Consequences of Challenging Motions   | 132 |
| g)         | Self-Disqualification of a Judge Being Possibly Biased?   | 134 |
| 3.         | Application of §§ 22 et seq StPO to Lay Judges and Recording<br>Clerks  | 135 |
| a)         | Excluded and Biased Lay Judges (§ 31 subs. 1 and 2 StPO)  | 135 |
| b)         | Recording Clerks (§ 31 subs. 1, 2 StPO)   | 136 |
| IV.        | Lay Judges ( <i>Schöffen</i> )  | 137 |
| 1.         | Participation of Lay Judges in the Administration of Criminal<br>Justice  | 137 |
| 2.         | The Problem of Lay Judges' Knowledge of the Files   | 138 |
| <b>§ 4</b> | <b>Public Prosecution</b>   | 141 |
| I.         | The Public Prosecution's Position in the System of Separation<br>of Powers  | 143 |
| 1.         | Creation of the Public Prosecution as »Guardian of the Law«<br>in the 19th Century  | 143 |
| 2.         | The Public Prosecution as Part of the Second Power (Executive)  | 144 |
| 3.         | The Public Prosecution as Judicial Authority (Organ of the<br>Administration of Criminal Justice)                             | 145 |
| 4.         | Is the Public Prosecution Bound by the Supreme Court's Practice<br>within the Application Range of the Principle of Legality? | 146 |
| a)         | The <i>BGH's</i> Standpoint   | 147 |
| b)         | Legal Doctrine  | 148 |

## Content

|  |            |
|--|------------|
| c) The Author's Point of View .....  | 149        |
| 5. The Public Prosecution: Being Bound by Leading Cases Beyond the Application Range of the Principle of Legality? .....                                   | 153        |
| 6. The Public Prosecution's Duty to Act Impartially .....  | 154        |
| II. Structure and Organization of the Public Prosecution .....   | 155        |
| 1. §§ 141, 142 GVG .....   | 155        |
| 2. Monocratic Organization of the Public Prosecution (§§ 144, 145 GVG) .....   | 156        |
| 3. Hierarchic Structure of the Public Prosecution (Superior's Right to Give Instructions) .....  | 158        |
| III. In Detail: Public Prosecutor's Duty to Comply with Instructions .....   | 160        |
| 1. Sense and Purpose of the Right to Give Instructions .....   | 161        |
| 2. Limitations to the Right to Give Instructions .....   | 162        |
| 3. General Instructions (Directives, Guidelines etc.) .....  | 165        |
| IV. Duties/Functions of the Public Prosecution .....   | 166        |
| 1. The Public Prosecution as Master of the Preliminary Proceedings .....   | 166        |
| a) Beginning and Ending of the Preliminary Proceedings .....   | 166        |
| b) Carrying out the Preliminary Investigations .....   | 169        |
| c) The Public Prosecution as Master of the Preliminary Proceedings as against the Court .....  | 171        |
| d) The Public Prosecution: <i>de iure</i> Master of the Preliminary Proceedings as against the Police .....  | 172        |
| 2. The Public Prosecutor's Role as Accuser in the Main Trial .....   | 172        |
| 3. The Public Prosecution as Executing Authority; Further Functions ..   | 173        |
| 4. Questions of Competence .....   | 174        |
| a) The Public Prosecution of the States .....  | 174        |
| b) Federal Attorney General (Rn 155, 158, 159) .....   | 174        |
| V. Exclusion and Challenge of Public Prosecutors? .....  | 175        |
| 1. The »Excluded/Disqualified« Public Prosecutor .....   | 175        |
| 2. The Biased Public Prosecutor .....  | 186        |
| <b>§ 5 The Police of the German States .....</b>   | <b>189</b> |
| I. Dual Function of the Police: Averting Dangers and Criminal Prosecution .....  | 189        |
| 1. Averting Dangers .....  | 190        |
| 2. Prosecution of Criminal Offences .....  | 193        |
| 3. Crime Prevention by the Police Prior to an Imminent Danger of the Commitment of a Criminal Offence ( <i>»Vorbeugende Verbrechensbekämpfung«</i> ) ..... | 195        |
| 4. Further Alien Elements (due to their Nature as Police Law) in the StPO .....  | 199        |
| II. Structure and Organisation of the German States' Police .....  | 201        |
| III. Relation between Public Prosecution and Police .....  | 202        |
| 1. The Public Prosecution as Master of the Preliminary Proceedings from a Legal Standpoint .....   | 202        |
| 2. The Police as Master of the Preliminary Proceedings from a  |            |

|   |         |
|---|---------|
| Factual Standpoint? .....   | 203     |
| 3. Differentiating Point of View .....  | 204     |
| 4. The Police' Binding to Instructions of the Public Prosecution<br>in Detail .....   | 205     |
| a) Relation between § 161 subs. 1 s. 2 StPO and 152 GVG .....   | 205     |
| b) Who is meant by the term » <i>Ermittlungsperson der StA</i> «<br>under § 152 GVG? .....  | 206     |
| c) The Public Prosecution's Right to Give Instructions in Case of<br>Collision between Criminal Prosecution and Averting Dangers ...                          | 207     |
| d) Use of Direct Force by Police Under Public Prosecution's<br>Instructions? .....  | 210     |
| e) The Public Prosecution's Right to Give Instructions and the<br>Principle of Proportionality .....  | 211     |
| <br><b>§ 6 Federal Bureau of Criminal Investigations and Federal Police .....</b>   | <br>213 |
| 1. Federal Bureau of Criminal Investigations ( <i>BKA</i> ) .....   | 213     |
| 2. Federal Police ( <i>Bundespolizei, BPol</i> ) .....  | 214     |
| 3. Supplementary Remarks: <i>Zollkriminalamt; Zollfahndungsämter</i><br>(i.e. Federal Bureau of Customs Offences; Customs Investigation<br>Departments) ..... | 215     |
| <br><b>Glossary with Subject Index (English/German) .....</b>   | <br>216 |